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EXPRESS MAIL NO. EV336590948US

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/785,019
Filing Date	February 15, 2001
First Named Inventor	Alexander Gaiger et al.
Group Art Unit	1644
Examiner Name	Ronald B. Schwadron
Attorney Docket No.	210121.465C4

ENCLOSURES (*check all that apply*)

- [] Fee Transmittal Form
 - [] Fee Attached
- [] Amendment/Response
 - [] After Final
 - [] Affidavits/declaration(s)
- [] Extension of Time Request
- [] Express Abandonment Request
- [x] Information Disclosure Statement; Form PTO-1449 (9 Sheets)
- [x] 105 Cited References
- [] Certified Copy of Priority Document(s)
- [] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
- [] Response to Missing Parts/Incomplete Application
- [] Drawing(s)
- [] Request for Corrected Filing Receipt
- [] Licensing-related Papers
- [] Petition
- [] Petition to Convert to a Provisional Application
- [] Power of Attorney, Revocation, Change of Correspondence Address
- [] Declaration
- [] Statement under 37 CFR 3.73(b)
- [] Terminal Disclaimer
- [] Request for Refund
- [] CD(s), Number of CD(s) _____
- [] After Allowance Communication to Group
- [] Appeal Communication to Board of Appeals and Interferences
- [] Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- [] Proprietary Information
- [] Status Letter
- [x] Return Receipt Postcard
- [] Additional Enclosure(s) *(please identify below)*:

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Julie A. Urvater, Ph.D. Patent Agent Reg. No. 50,461	00500 PATENT TRADEMARK OFFICE
Signature	Julie Urvater	
Date	July 11, 2003	

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Alexander Gaiger et al.
Application No.	:	09/785,019
Filed	:	February 15, 2001
For	:	COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY
Examiner	:	Ronald B. Schwadron
Art Unit	:	1644
Docket No.	:	210121.465C4
Date	:	July 11, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Applicants note that reference AI (JP 11-89596) is written in Japanese. Accordingly, the Examiners attention is respectfully directed to references GH-GL, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AJ (JP 11-89599) is written in Japanese. Accordingly, the Examiners attention is respectfully directed to references GM, GN and HC-HG, which present English abstracts and the relevant nucleotide sequences.

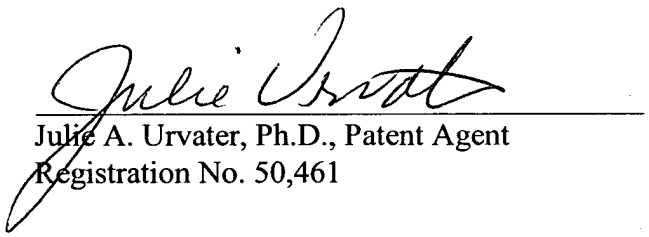
Applicants note that reference AK (WO 96/38176) is written in Japanese. Accordingly, the Examiners attention is respectfully directed to references CL-CN, DC-DL, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AL (WO 99/03506) is written in Japanese. Accordingly, the Examiners attention is respectfully directed to references FC-FN, GC-GG, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AL (WO 00/06602) is written in Japanese. Accordingly, the Examiners attention is respectfully directed to references HH-HN, IC, which present English abstracts and the relevant nucleotide sequences.

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



Julie A. Urvater, Ph.D., Patent Agent
Registration No. 50,461

JAU:ljt
Enclosures:
Postcard
Form PTO/SB/21
Form PTO-1449 (9 Sheets)
Cited References (105)

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EXPRESS MAIL NO. EV336590948US

FORM PTO-1449 (REV.7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	APPLICATION NO.
		210121.465C4	09/785,019
		APPLICANTS	
		Alexander Gaiger et al.	
		FILING DATE	GROUP ART UNIT
		February 15, 2001	1644

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,633,142	05/27/97	Herlyn et al.	435	7.23	
	AB	5,670,317	09/23/97	Ladanyi et al.	435	6	
	AC	5,726,288	03/10/98	Call et al.	530	350	
	AD	6,034,235	03/07/00	Sugiyama et al.	536	24.5	
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
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	AH	EP 1103564 A1	05/30/01	EPO		
	AI	JP 11-89596 A	04/06/99	Japan		X
	AJ	JP 11-89599 A	04/06/99	Japan		X
	AK	WO 96/38176	12/05/96	WIPO		X
	AL	WO 99/03506	01/28/99	WIPO		X
	AM	WO 00/06602	02/10/00	WIPO		X
	AN	WO 01/60970	08/23/01	WIPO		
	AO	WO 01/94629	12/13/01	WIPO		
	AP	WO 02/00677	01/03/02	WIPO		

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AQ		GenBank Database, Accession No. A39692, February 16, 1997.
	AR		GenBank Database, Accession No. AAA36810, June 15, 1990.
	AS		GenBank Database, Accession No. AAA62825, October 27, 1994.

EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	BB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	BC		GenBank Database, Accession No. AAB33427, May 12, 1995.
	BD		GenBank Database, Accession No. AAB33443, July 11, 1995.
	BE		GenBank Database, Accession No. AAC60039, November 8, 1996.
	BF		GenBank Database, Accession No. BAA94794, April 21, 2000.
	BG		GenBank Database, Accession No. CAA35956, May 29, 1991.
	BH		GenBank Database, Accession No. CAA43819, December 3, 1993.
	BI		GenBank Database, Accession No. CAA59736, February 13, 1996.
	BJ		GenBank Database, Accession No. I51960, November 5, 1999.
	BK		GenBank Database, Accession No. M30393, June 15, 1990.
	BL		GenBank Database, Accession No. NM_000378, November 5, 2000.
	BM		GenBank Database, Accession No. NM_024424, March 20, 2001.
	BN		GenBank Database, Accession No. NM_024426, March 20, 2001.

EXAMINER	DATE CONSIDERED

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS Alexander Gaiger et al.	
		FILING DATE February 15, 2001	GROUP ART UNIT 1644

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	CB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	CC		GenBank Database, Accession No. NP_000369, November 5, 2000.
	CD		GenBank Database, Accession No.NP_077742, March 20, 2001.
	CE		GenBank Database, Accession No. NP_077743, March 20, 2001.
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	CK		GenBank Database, Accession No. X51630, May 29, 1991.
	CL		Geneseq Database, Accession No. AAT45130, August 191997.
	CM		Geneseq Database, Accession No. AAT45131, August 20, 1997.
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	DB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	DC		Geneseq Database, Accession No. AAT45133, August 20, 1997.
	DD		Geneseq Database, Accession No. AAT45134, August 20, 1997.
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	DL		Geneseq Database, Accession No. AAT45142, August 20, 1997.
	DM		Geneseq Database, Accession No. AAT97855, March 9, 1998.
	DN		Geneseq Database, Accession No. AAT97856, March 9, 1998.

EXAMINER	DATE CONSIDERED

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	EA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	EB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	EC		Geneseq Database, Accession No. AAT97857, March 9, 1998.
	ED		Geneseq Database, Accession No. AAT97858, March 9, 1998.
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	EN		Geneseq Database, Accession No. AAT97868, March 9, 1998.

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FORM PTO-1449 (REV.7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	APPLICATION NO.
		210121.465C4	09/785,019
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS: Alexander Gaiger et al.	
		FILING DATE: February 15, 2001	GROUP ART UNIT: 1644

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	FA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	FB					

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	FC		Geneseq Database, Accession No. AAX15839, May 11, 1999.
	FD		Geneseq Database, Accession No. AAX15840, May 11, 1999.
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	FN		Geneseq Database, Accession No. AAX15850, May 11, 1999.

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		FILING DATE: February 15, 2001	GROUP ART UNIT: 1644

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U.S. PATENT DOCUMENTS

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	GA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	GB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	GC		Geneseq Database, Accession No. AAX15851, May 11, 1999.
	GD		Geneseq Database, Accession No. AAX15852, May 11, 1999.
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	GF		Geneseq Database, Accession No. AAX15854, May 11, 1999.
	GG		Geneseq Database, Accession No. AAX15855, May 11, 1999.
	GH		Geneseq Database, Accession No. AAX23927, June 25, 1999.
	GI		Geneseq Database, Accession No. AAX23928, June 25, 1999.
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	GM		Geneseq Database, Accession No. AAX34315, July 6, 1999.
	GN		Geneseq Database, Accession No. AAX34316, July 6, 1999.

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OIPE JUL 11 2003 PATENT & TRADEMARK OFFICE

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	HA						

FOREIGN PATENT DOCUMENTS

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	HB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	HC		Geneseq Database, Accession No. AAX34317, July 6, 1999.
	HD		Geneseq Database, Accession No. AAX34318, July 6, 1999.
	HE		Geneseq Database, Accession No. AAX34319, July 6, 1999.
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	HH		Genseq Database, Accession No. AAY80196, May 24, 2000.
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	HL		Genseq Database, Accession No. AAY80200, May 24, 2000.
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	IB					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	IC		Genseq Database, Accession No. AAY80203, May 24, 2000.
	ID		Genseq Database, Accession No. ABP42234, August 22, 2002.
	IE		
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